

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION 2

June 26, 2023 @ 1:30 pm

USEPA – Region II

Regional Hearing Clerk

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In the Matter of

Abatement Technologies, Inc.,

Respondent.

CONSENT AGREEMENT AND  
FINAL ORDER

Docket No. FIFRA-02-2023-5105

Proceeding to Assess a Civil Penalty  
Under Section 14(a) of the Federal  
Insecticide, Fungicide, and Rodenticide  
Act, 7 U.S.C. § 136l(a)

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**Consent Agreement**

1. This is an administrative action commenced and concluded under Section 14(a) of the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA), 7 U.S.C. § 136l(a), and Sections 22.13(b) and 22.18(b)(2) and (3) of the *Consolidated Rules of Practice Governing the Administrative Assessment of Civil Penalties and the Revocation/Termination or Suspension of Permits (CROP)* as codified at 40 C.F.R. Part 22. Pursuant to Section 22.13(b) of the Consolidated Rules of Practice, where the parties agree to settlement of one or more causes of action before the filing of an administrative complaint, a proceeding may be simultaneously commenced and concluded by the issuance of a Consent Agreement and Final Order pursuant to 40 C.F.R. §§ 22.18(b)(2) and (3).
2. The Complainant is the Director of the Enforcement and Compliance Assurance Division, United States Environmental Protection Agency (EPA), Region 2.
3. Respondent, an air abatement products distributor, is incorporated in the State of Georgia.
4. The parties agree that settling this action without the filing of a Complaint or the adjudication of any issue of fact or law is in their interest and in the public interest.

5. Respondent consents to the assessment of the civil penalty specified in this Consent Agreement and Final Order (CAFO) and to the terms of this CAFO.

**Jurisdiction and Waiver of Right to Hearing**

6. Respondent admits the jurisdictional allegations in this CAFO and neither admits nor denies the factual allegations in this CAFO.

7. Respondent waives its right to request a hearing as provided at 40 C.F.R. § 22.15(c), and any rights it may have to contest the allegations in this CAFO or to appeal this CAFO.

**Statutory and Regulatory Background**

8. Section 2(u)(1) of FIFRA, 7 U.S.C. § 136(u)(1) defines a “pesticide” as “any substance or mixture of substances intended for preventing, destroying, repelling, or mitigating any pest.”

9. Section 2(gg) of FIFRA, 7 U.S.C. § 136(gg), defines the term “distribute or sell” to mean to distribute, sell, offer for sale, hold for distribution, hold for sale, hold for shipment, ship, deliver for shipment, release for shipment, or receive and (having so received) deliver or offer to deliver.

10. The importation of pesticides into the United States is governed by Sections 17(c) and (e) of FIFRA, 7 U.S.C. §§ 136o(c) and 136o(e), and the regulations promulgated thereunder by the Secretary of the Treasury in consultation with the Administrator of the EPA (the Administrator). These regulations are found at 19 C.F.R. Part 12.

11. Section 17(e) of FIFRA, 7 U.S.C. § 136o(e), and 19 C.F.R § 12.1(b) provide that the regulations for the importation of pesticides and devices are joint regulations enforceable by the cooperating agencies.

12. Nineteen C.F.R. § 12.115 states in pertinent part that if EPA so directs, a shipment of pesticides or devices will be detained by the port director pending an examination by the Administrator

to determine whether the shipment complies with the requirements of the Act.

13. Nineteen C.F.R. § 12.112 states, in pertinent part, that an importer desiring to import pesticides or devices into the United States shall submit to the Administrator a Notice of Arrival (“NOA”) of Pesticides or Devices (EPA Form 3540-1) prior to the arrival of the shipment to the United States. See also Section 17(c) of FIFRA, 7 U.S.C. § 136o(c).

14. Section 12(a)(2)(N) of FIFRA, 7 U.S.C. § 136j(a)(2)(N), states in relevant part that it is unlawful for any person who is a distributor to fail to file required reports.

15. Nineteen C.F.R. § 12.111 states that all imported pesticides are required to be registered under the provisions of Section 3 of FIFRA, 7 U.S.C. § 136a, and under the regulations promulgated thereunder by the Administrator before being permitted entry into the United States. These regulations are found at 40 C.F.R. Parts 152 and 156. Devices, although not required to be registered, must not bear any statement, design, or graphic representation that is false or misleading in any particular.

16. Section 2(h) of FIFRA, 7 U.S.C. § 136(h), defines a “device,” in pertinent part, as any instrument or contrivance which is intended for destroying or mitigating any pest or any other form of terrestrial or aquatic plant or animal life or virus, bacteria, or other microorganism.

17. Section 2(s) of FIFRA, 7 U.S.C. § 136(s), defines a “person” as any individual, partnership, association, corporation, or any organized group of persons whether incorporated or not.

18. Section 2(p)(l) of FIFRA, 7 U.S.C. § 136(p)(l), defines a “label” as written, printed, or graphic matter on, or attached to, the pesticide or device or any of its containers or wrappers.

19. Section 12(a)(l)(F) of FIFRA, 7 U.S.C. § 136j(a)(l)(F), states that it is unlawful for any person in any State to distribute or sell to any person a device which is misbranded.

20. Forty C.F.R. § 152.500(a)(1) and 40 C.F.R. Part 156 set out the labeling requirements for

pesticide devices. Forty C.F.R. § 156.10(a)(1) states that every pesticide product shall bear a label containing information specified by FIFRA and the regulations in this part, including the producing establishment number.

21. Forty C.F.R. § 156.10(a)(5) provides that a pesticide or a device is misbranded if its labeling is false or misleading in any particular, including both pesticidal and non-pesticidal claims.

22. Section 14(a)(1) of FIFRA, 7 U.S.C. § 136l(a)(1), provides that any registrant, commercial applicator, wholesaler, dealer, retailer or other distributor who violates any provision of FIFRA may be assessed a civil penalty by EPA of not more than \$5,000 for each offense. The Federal Civil Penalties Inflation Adjustment Act of 1990, as amended through 2015, 28 U.S.C. § 2461 *note*, and its implementing regulations at 40 C.F.R. Part 19, increased this amount to \$20,528 for each offense for which a penalty is assessed after December 23, 2020.

### **Factual Allegations and Alleged Violations**

23. Respondent is, and was at all times relevant to this Complaint, a corporation, and therefore, a “person” as that term is defined at Section 2(s) of FIFRA, 7 U.S.C. § 136(s).

24. On March 24, 2022, EPA became aware of a shipment (CIU-51847626, hereinafter “Shipment 1”) imported by Respondent into the Port of Buffalo/Niagara Falls which contained six “HEPA-CARE Air Purification Systems” (product no. HC500CD) and four “Canister HEPA Filter with Built In UVGI Germicidal Lamp” (product no. H1612C-UV) units.

25. After reviewing the entry forms and labels, EPA staff determined that:

- a. The products in Shipment 1 were pesticide devices;
- b. The products in Shipment 1 were not labeled with an EPA establishment number as required by 40 C.F.R. § 156.10(a)(1); and

- c. NOAs were not filed for Shipment 1 prior to the Shipment’s arrival in the United States.

26. On March 28, 2022, EPA issued a Notice of Detention (NOD) for Shipment 1 pursuant to 19 C.F.R. § 12.115.

27. On April 12, 2022 EPA became aware of another shipment (CIU-51859076, hereinafter “Shipment 2”) imported by Respondent into the Port of Buffalo/Niagara Falls that contained five different air purification products: (Bulldog BD2KM Negative Air Machines (12 units), HEPA-AIRE PAS750 Portable Air Scrubbers (24 units), HEPA-AIRE PAS2400 Portable Air Scrubbers (2 units), Predator 750 Portable Air Scrubbers (8 units), and Predator 1200 Portable Air Scrubber (4 units)).

28. On April 18, 2022, Respondent informed EPA that the HEPA-CARE Air purification system products and 3 of the 4 H1612C-UV products in Shipment 1 had been shipped to customers in the United States prior to the issuance of the NOD.

29. After reviewing the entry forms and labels for the products in Shipment 2, EPA staff determined that:

- a. The products were pesticide devices;
- b. The products in Shipment 2 were not labeled with an EPA establishment number as required by 40 C.F.R. § 156.10(a)(1); and
- c. NOAs were not filed for Shipment 2 prior to the Shipment’s arrival in the United States.

30. Respondent is a distributor within the meaning of Section 14(a)(1) of FIFRA, 7 U.S.C. § 136l(a)(1).

31. Respondent is an “importer” within the meaning of 19 C.F.R. § 12.112.

32. Respondent’s failures to file NOAs for the products in the Shipments prior to their arrival

in the United States constitute unlawful acts as described by Section 12(a)(2)(N) of FIFRA, 7 U.S.C. § 136j(a)(2)(N).

33. Respondent's imports of the products in the Shipments as labeled upon arrival constitute distributions or sales of misbranded pesticide devices and are unlawful acts as described by Section 12(a)(1)(F) of FIFRA, 7 U.S.C. § 136j(a)(1)(F).

34. Respondent's distributions of the products in Shipment 1 to customers in the United States constitute distributions or sales of misbranded pesticide devices and are unlawful acts as described by Section 12(a)(1)(F) of FIFRA, 7 U.S.C. § 136j(a)(1)(F).

35. Each of Respondent's unlawful acts, as alleged herein, is a violation of FIFRA for which a penalty may be independently assessed under Section 14(a)(1), 7 U.S.C. § 136l(a)(1).

#### **Civil Penalty**

36. Pursuant to Section 14(a)(4) of FIFRA, 7 U.S.C. § 136l(a)(4), Complainant determined that an appropriate civil penalty to settle this action is \$151,040.25. In determining the penalty amount, Complainant considered the appropriateness of the penalty to the size of Respondent's business, the effect on Respondent's ability to continue in business, and the gravity of the violation. Complainant also considered EPA's Enforcement Response Policy for the Federal Insecticide, Fungicide, and Rodenticide Act, dated December 2009 (FIFRA ERP).

37. Respondent shall pay, either by certified check, electronically by Fedwire or by online payment, a civil penalty in the amount of **One Hundred and Fifty-One Thousand and Forty Dollars (\$151,040)**.

a. If Respondent chooses to make payment by certified check, then such check shall be made payable to the "Treasurer of the United States of America" and shall be mailed by one of the following two methods:

i. STANDARD DELIVERY

The check shall be mailed to:

United States Environmental Protection Agency  
Fines & Penalties  
Cincinnati Finance Center  
P.O. Box 979078  
St. Louis, Missouri 63197-9000

ii. SIGNED RECEIPT CONFIRMATION DELIVERY (Fedex, DHL, UPS, USPS, Certified, Registered, etc.)

United States Environmental Protection Agency  
Government Lockbox 979078  
1005 Convention Plaza  
SL-MO-C2-GL  
St. Louis, MO 63101

The check shall be identified with a notation thereon listing the following: ***In the Matter of Abatement Technologies, Inc.*** and shall bear the Docket No. **FIFRA-02-2023-5105**

b. If Respondent chooses to make payment electronically through Fedwire,

Respondent shall provide the following information to its remitter bank (Federal Reserve Bank of New York) when payment is made:

- i. Amount of payment
- ii. SWIFT address: **FRNUS33, 33 Liberty Street, New York, NY 10045**
- iii. Account Code for Federal Reserve Bank of New York receiving payment: **68010727**
- iv. Federal Reserve Bank of New York ABA routing number: **021030004**
- v. Field Tag 4200 of the Fedwire message should read: **“D 68010727 Environmental Protection Agency”**
- vi. Name of Respondent: **Abatement Technologies, Inc.**
- vii. Case Docket Number: **FIFRA-02-2023-5105.**

c. If Respondent chooses to make on-line payments, Respondent shall go to

[www.pay.gov](http://www.pay.gov) and enter SFO 1.1 in the search field on the tool bar on the Home Page; select

Continue under “EPA Miscellaneous Payments – Cincinnati Finance Center;” and open the

form and complete the required fields. Once payment has been effected, Respondent shall email

proof of payment to [burke.guy@epa.gov](mailto:burke.guy@epa.gov) and [wise.milton@epa.gov](mailto:wise.milton@epa.gov) with *In the Matter of Abatement Technologies, Inc., FIFRA-02-2023-5105* as the subject line.

38. The civil penalty of \$151,040 must be received by EPA *on or before* thirty (30) calendar days from the date on which the Regional Administrator signs the Final Order located at the end of this CAFO (the “due date”). Failure to pay the full amount of the penalty, according to the above provisions, will result in the referral of this matter to the United States Department of Justice and/or the United States Department of Treasury for collection and/or other appropriate action. The validity, amount and appropriateness of the civil penalty are not reviewable in a collection action.

39. Pursuant to 31 U.S.C. § 3717 and 40 C.F.R. § 13.11, EPA is entitled to assess interest, administrative costs and late payment penalties on outstanding debts owed to the United States, including the United States Environmental Protection Agency, and a charge to cover costs of processing and handling delinquent claims.

a. Interest: Any unpaid portion of a civil penalty must bear interest at the rate established by the Secretary of the Treasury pursuant to 31 U.S.C. § 3717(a)(1). Interest will therefore begin to accrue on any portion of the civil penalty not paid by the relevant Due Date(s) specified above. Forty C.F.R. § 13.11 (a)(1) provides for assessing the annual rate of interest that is equal to the rate of the current value of funds to the United States Treasury (*i.e.*, the Treasury tax and loan account rate) on installment payments, which is currently one percent (1%) per annum.

b. Handling Charges: Pursuant to 31 U.S.C. § 3717(e)(1), a monthly handling charge of fifteen dollars (\$15.00) shall be assessed for each thirty (30) day calendar period, or any portion thereof, following the date the payment was to have been made, in which payment of the amount remains in arrears.



c. Late Penalty Charge: A late penalty charge of six percent (6%) per year will be assessed monthly on any portion of the civil penalty that remains delinquent more than ninety (90) calendar days. 40 C.F.R. § 13.11(c). The late payment penalty on any portion of the civil penalty that remains delinquent more than ninety days shall accrue from the first day payment is delinquent. 31 C.F.R. § 901.9(d).

40. The civil penalty, including any payment(s) for interest or late payment and handling charges that come due, constitutes a penalty within the meaning of 26 U.S.C. § 162(f) and does not constitute a deductible expenditure for purposes of federal or state law.

### **General Provisions**

41. Respondent certifies that, to the best of its knowledge, it is currently in compliance with FIFRA, 7 U.S.C. §§ 136-136y, and its implementing regulations.

42. Respondent explicitly and knowingly consents to the assessment of the civil penalty as set forth in this Consent Agreement and agrees to pay the penalty in accordance with the terms of this Consent Agreement. Full payment of the penalty shall only resolve Respondent's liability for federal civil penalties for the violations described in Paragraphs 24-35, above. Full payment of this penalty shall not in any case affect the right of EPA or the United States to pursue appropriate injunctive or other equitable relief or criminal sanctions for any violations of law.

43. This CAFO does not waive, extinguish, or otherwise affect Respondent's obligation to comply with all applicable federal, state, or local laws, rules, or regulations, nor shall it be construed to be a ruling on, or a determination of, any issue related to any federal, state or local permit. This CAFO does not waive, extinguish, or otherwise affect Respondent's obligation to comply with all applicable provisions of FIFRA and the regulations promulgated thereunder.

44. Compliance with the requirements and provisions of this CAFO shall not constitute a

defense to any subsequent (*i.e.* following the filing of this document) action, suit or proceeding EPA (or the United States on behalf of EPA) may commence pursuant to any applicable federal statutory or regulatory provision for any violation(s) occurring after the date of the execution of the Final Order accompanying this Consent Agreement, or for any violation(s) of FIFRA statutory or regulatory requirements or prohibitions not alleged herein but that may have occurred prior to the date of the execution of the Final Order accompanying this Consent Agreement.

45. Respondent consents to the use of electronic signatures in this matter and to service upon it of a copy of this Consent Agreement and Final Order by an EPA employee other than the Regional Hearing Clerk via electronic mail to the following addressee(s):

Andrew Harber, Chief Executive Officer  
[aharber@abatement.ca](mailto:aharber@abatement.ca)

46. Delivery of the fully executed documents to the email addresses in this paragraph shall constitute Respondent's receipt and acceptance of the CAFO.

47. Any responses, documentation, and other communication submitted to EPA in connection with this Consent Agreement shall be sent by email to:

Guy Burke  
Lead Paint & Pesticides Compliance Section  
Enforcement and Compliance Assurance Division  
U.S. Environmental Protection Agency – Region 2  
[Burke.Guy@epa.gov](mailto:Burke.Guy@epa.gov)


Unless the above-named EPA contact is later advised otherwise by electronic mail, EPA shall address any written future correspondence (including any correspondence related to payment of the penalty in accordance with the provisions of this CAFO), to the addressee identified in the preceding paragraph.

48. Respondent has read the Consent Agreement, understands its terms, finds it to be reasonable, and consents to its issuance and its terms. Respondent agrees that this CAFO constitutes the entire agreement between the parties and all terms of settlement are set forth herein.

49. The terms of this CAFO bind Respondent, its successors, and assigns. Respondent's signatory certifies that he or she has the authority to sign on behalf of Abatement Technologies, Inc. and to bind Respondent to its terms.

50. Each party agrees to bear its own costs and attorney fees in this action.

**RESPONDENT:**

BY:   
(Signature)

NAME: Andrew Harber

TITLE: CEO

COMPANY NAME: Abatement Technologies, Inc.

DATE: June 1, 2023

**COMPLAINANT:**

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Dore F. LaPosta, Director  
Enforcement and Compliance Assurance Division  
U.S. Environmental Protection Agency, Region 2

DATE: \_\_\_\_\_

FINAL ORDER

The Regional Administrator of EPA, Region 2, concurs in the foregoing Consent Agreement in the case of **In the Matter of Abatement Technologies, Inc., FIFRA-02-2023-5105**. Said Consent Agreement, having been duly accepted and entered into by the parties, is hereby ratified and incorporated into this Final Order, which is hereby issued and shall take effect when filed with the Regional Hearing Clerk of EPA, Region 2. 40 C.F.R. § 22.31(b). This Final Order is being entered pursuant to the authority of 40 C.F.R. § 22.18(b)(3). The effective date of this Order shall be the date of electronic filing with the Regional Hearing Clerk, United States Environmental Protection Agency, Region 2, New York, NY.

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**Lisa F. Garcia**

Regional Administrator

U.S. Environmental Protection Agency – Region 2

290 Broadway, 26<sup>th</sup> Floor

New York, New York 10007-1866

DATED: \_\_\_\_\_

CERTIFICATE OF SERVICE

I certify that I have this day caused to be sent the foregoing fully executed Consent Agreement and Final Order, bearing the above-referenced docket number, in the following manner to the respective addressees listed below:

By Electronic Mail:

Karen Maples  
Office of the Regional Hearing Clerk  
U.S. Environmental Protection Agency  
Region 2  
290 Broadway, 16<sup>th</sup> Floor  
New York, New York 10007-1866  
[Maples.karen@epa.gov](mailto:Maples.karen@epa.gov)

By Electronic Mail

Andrew Harber, Chief Executive Officer  
Abatement Technologies, Inc.  
7 High Street  
Fort Erie, Ontario L2A 3P6  
[aharber@abatement.ca](mailto:aharber@abatement.ca)

Dated: \_\_\_\_\_, 2023

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